

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of
Richard J. Feldmann
Application No. 10 609,383
Filed: July 1, 2003

Art Unit 2857

For: Modifying the control of gene expression behavior by the deletion of connectrons and by
the design and addition of synthetic connectrons in prokaryotic, archaea and eukaryotic genomes



INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first Office action on the merits; whichever occurs last.)
- ☐ under 37 CFR 1.97(c) together with either a:
 - ☐ Certification under 37 CFR 1.97(e), or
 - ☐ a \$180.00 fee under 37 CFR 1.17(p), or
 - (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first.)
- ☐ under 37 CFR 1.97(d) together with either a:
 - ☐ Certification under 37 CFR 1.97(e), and
 - ☐ a petition under 37 CFR 1.97(d)(2)(ii), and
 - ☐ a \$130.00 petition fee set forth in 37 CFR §117(i)(1).
 - (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee.)

Applicant(s) submits herewith Form PTO 1449-Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) is aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. [XX] These references were cited in an International Search Report mailed 06 January 2005, a copy of which is attached.

The relevance of the attached references is that this is the closest art of which applicant(s) is aware.

Applicant(s) submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

Respectfully submitted,

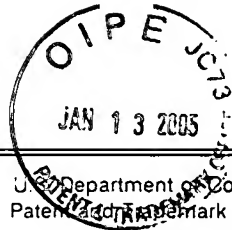
Jim Zegeer
Jim Zegeer, Reg. No. 18,957
Attorney for Applicant(s)

Attachments:

International Search Report, Form PTO-1449 and cited references

Suite 108
801 North Pitt Street
Alexandria, VA 22314
Telephone: 703-684-8333
Date: January 12, 2005

In the event this paper is deemed not timely filed, the applicant hereby petitions for an appropriate extension of time. The fee for this extension may be charged to Deposit Account No. 26-0090 along with any other additional fees which may be required with respect to this paper.

FORM PTO-1449
(MODIFIED)U.S. Department of Commerce
Patent and Trademark OfficeATTY. DOCKET NO.
3279-Z

APPLICATION NO.

10/609,383**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

APPLICANT

Richard J. Feldmann

FILING DATE

July 1, 2003

ART UNIT

2857**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		LIPMAN, D.J.: "Making (anti)sense of non-coding sequence conservation". NUCLEIC ACIDS RESEARCH. 1997, Vol. 25, No. 18, pages 3580-3583.
		MATTICK, J.S.: "Non-coding RNAs: the architects of eukaryotic complexity". EMBO Reports. 2001, Vol. 21, No. 11, pages 986-991.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.